Purpose
The AU Graduate Theory Entrance Exam assesses student mastery of the undergraduate core curriculum in theory.

The purpose of the exam is to ensure that incoming graduate students are well prepared for advanced studies in theory. If students do not take and pass all portions of the exam with a 70% or higher, they must satisfactorily complete remedial coursework *before* enrolling in any graduate-level theory class.

Scheduling
The exam is typically held 8:00 a.m.-12:00 p.m. on the Thursday just prior to the start of fall semester classes. Check with the Music Department Office for confirmation of exact dates/times.

Exam format
- The written exam will begin at 8:00 with aural skills (intervals, sonorities, melodic and harmonic dictation)
- You will have until 10:30 to complete the remainder of the written exam (including four-part realization, harmonization, analysis, etc.)
- You will sign up for individual sight-singing tests, to begin directly after the written exam

Activities and Skills
- Aural identification of intervals and sonorities
- Dictation and sight-singing of tonal melodies (both diatonic and chromatic). Notate both pitch and rhythm.
- Dictation of tonal harmonic progressions (both diatonic and chromatic). Notate soprano, bass, and roman numerals.
- Multiple choice
- Short answer
- Realization of a figured bass (four-part voice leading)
- Harmonization of a given melody (four-part voice leading)
- Harmonic analysis using roman numerals
Content
Graduate students are expected to be familiar with both the diatonic and chromatic harmonic materials of the common practice style, including:

- Key signatures
- Chords (spelling and inversions of triads, seventh chords, and extended tertian harmonies)
- Cadence types; period structures
- Types of non-chord tones
- Secondary (“applied”) dominants and seventh chords
- Modulation
- Augmented-sixth chords; the Neapolitan

Notation and Analytical Systems
Different undergraduate programs may use slightly different symbols to indicate the same information. On the exam, students are expected to understand and use the following system:

Roman numerals. In harmonic analysis, indicate both sonority (chord quality) and inversion.

- Uppercase roman numerals indicate chords that are MAJOR in sonority. Examples:
  - I (major tonic triad in root position)
  - IV$^6$ (major subdominant triad in first inversion)
  - V$^6/4$ (major dominant triad in second inversion)
  - V$^{4/2}$ (major-minor dominant seventh chord in third inversion)
- Lowercase roman numerals indicate chords that are MINOR. Examples:
  - vi (minor submediant triad in root position)
  - vi$^{6/5}$ (minor supertonic seventh chord in first inversion)
- Indicate DIMINISHED chords with a lowercase roman numeral and a superscript circle.
  - ii$^{6/5}$ (diminished supertonic triad in first inversion)
  - ii$^{6/4}$ (fully diminished supertonic seventh in second inversion)
  - ii$^{9/7}$ (half diminished supertonic seventh in root position)

Suggested Resources for Review and Exam Preparation

- Koskta, Stefan, and Dorothy Payne. Tonal Harmony.

NOTE! The following practice materials are representative of the more involved exam activities. Keep in mind that you are also responsible for short-answer questions as described above (for example: key signatures, chord qualities, intervals, spelling chromatic chords, etc.). PRACTICE is the best kind of preparation—use these materials as examples to help you find more practice materials, not as your only review!

With the exception of the two practice dictation examples, no key will be provided.
**Melodic Dictation**
- Notate both pitch and rhythm
- The excerpt will be played 5 times
- The audio sets up the key, plays starting note, then counts 2 bars
- Answer key is provided at the end of this packet

**Harmonic Dictation**
- Notate SOPRANO and BASS only
- Provide a complete roman-numeral analysis
- The excerpt will be played 5 times
- The audio sets up the key, plays starting bass and soprano notes, then counts 1 bar
- *Answer key is provided at the end of this packet*

**Realizing Figured Bass**
- Realize in four voices
- You will receive credit for **stylistic voice-leading** as well as chord spelling and doubling
Non-Harmonic Tones (=Non-Chord Tones)

- Create a roman-numeral analysis
  - Be sure you label the overall key
  - Your roman numerals must indicate inversions and chord qualities
- Circle and label all non-harmonic tones. (For example: Anticipation, Appoggiatura, Escape Tone, Neighbor Tone, Passing Tone, Pedal, and Suspension)

Harmonization of a Modulating Melody

- Harmonize the following melody with roman numerals and a bass line in common-practice tonal style (do not write out a four-part harmonization)
- Your harmonization must begin in one key, then modulate to establish a new key (be sure to indicate both the starting key and the new key)
- The harmony must change on every note; change of inversion or addition of a seventh will not constitute a change of harmony
- Your roman numerals must show both quality and inversion
- You will receive credit for stylistic tonal syntax as well as vertical consonance
Tonal Analysis

- Create a roman-numeral analysis
  - Be sure you label the overall key
  - Your roman numerals must indicate inversions and chord qualities
- Form
  - Bracket and label every cadence as to its specific type
  - How would you describe or classify the phrase structure and form of this piece?

PRELUDE

Largo m.m. = 66

F. CHOPIN, Op. 28, No. 20

Ped. simile

p

rit.

pp

cresc.
**Post-Tonal Materials**

Students should have a basic knowledge of post-tonal materials, including:
- Diatonic scales: modes, pentatonic scale
- Symmetrical scales: whole tone, octatonic
- Quartal and quintal sonorities, extended-tertian sonorities
- Basic manipulations of the 12-tone row in serial composition (inversion, retrograde, etc.)
- Terms: polytonality, pandiatonicism, minimalism, aleatoric, etc.

**Post-Tonal Analysis 1**

- If you consider the entire texture of the following excerpt, what term best describes the structure of its **sonorities**?  
- If you consider ONLY the melody in the soprano, what term best describes the **scale**?

![Musical Notation 1](image)

**Post-Tonal Analysis 2**

- If you consider the entire texture of the following excerpt, what term best describes its **pitch materials**?

![Musical Notation 2](image)
Post-Tonal Analysis 3

- If you consider the entire texture of the following excerpt, what term best describes the structure of many of its sonorities? ________________

- If you consider ONLY the melody in the soprano, what term best describes the scale? ________________

\[\text{Sarabande}\]
Aural Skills

1. Identify intervals played melodically or harmonically

2. Identify chord qualities and inversions of both triads and seventh chords; chords played harmonically = simultaneously, not arpeggiated

3. Melodic Dictation
   a. Notate both rhythm and melody. 4 hearings
   b. Audio sets up the key, gives first note, and counts off 2 measures of 6/8

4. Harmonic Dictation
   a. Notate soprano, bass, and provide roman numerals. 4 hearings
   b. Audio sets up the key, gives first bass and soprano notes, and counts off 1 measure of 4/4
Dictation Answer Key

Melodic dictation

(Modulation to closely-related key; in this case, to the relative major)

Harmonic dictation

Ab: I ii43 I+6 V I V7 IV IV N6 V7 vi